

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Paul A. INSEL et al.

Serial No.: 09/402,244

371 Filing Date: September 29, 1999

For: METHODS AND COMPOSITIONS FOR  
IDENTIFYING VARIATIONS IN  
HUMAN  $\alpha_1\beta$ - AND  $\beta_2$ - ADRENERGIC  
RECEPTOR GENES

Examiner: To Be Assigned

Group Art Unit: 5611

## STATEMENT OF INVENTOR STEPHEN B. LIGGETT UNDER 37 C.F.R. §1.497(d)(1)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

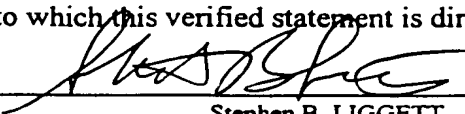
The above-identified patent application is a U.S. National Stage patent application (filed under 35 U.S.C. § 371) of PCT/US98/23496 filed November 4, 2000 which claims the benefit of priority to U.S. provisional patent application 60/086,232 filed November 10, 1997.

I believe I am an inventor of claimed subject matter in the above-identified U.S. patent application. The error in inventorship at the time of the filing of the PCT application was inadvertent and no deceptive intent was involved in this inadvertent error.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

5/14/01  
Date

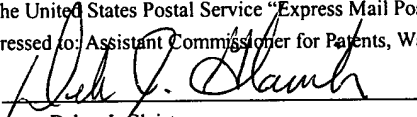
  
Stephen B. LIGGETT

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: May 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Debra J. Glaister

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07 JUN 2001

Legal Staff  
International Division

## REQUEST FOR CHANGE IN INVENTORSHIP UNDER 37 C.F.R. § 1.497(d)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The presently named inventors of the above-identified application, Paul A. Insel, Volker Herrmann and Rainer Buescher, hereby request that Stephen B. Liggett, a U.S. citizen, residing at 8020 Elbrecht Drive, Cincinnati, Ohio 45242, be added as joint inventor.

A Statement of Inventor Stephen B. Liggett, under 37 C.F.R. § 1.497(d) (1) is provided along with the Substitute Declaration for the above-referenced Patent Application.

Pursuant to 37 C.F.R. § 1.497(d) (2), the attached Fee Transmittal authorizes the Assistant Commissioner to charge the fee set forth in 37 C.F.R. § 1.17(i) of \$130.00.


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In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with this document to **Deposit account No. 03-1952** referencing 220002058901. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the deposit account.

Respectfully submitted,

Dated: May 21, 2001

By:

  
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